

Important information about opening a new account:

- Use this form to make an indirect rollover from a 529 College Savings account into an STABLE account. In an indirect rollover, the withdrawn 529 College funds are given to the 529 College Savings Account Owner and then deposited by such Account Owner into the STABLE account.
- You must deposit the amount you want to qualify as a rollover into the STABLE account within 60 days of withdrawal from the 529 College Savings account.
- If a STABLE account has not yet been established for the Beneficiary, the Beneficiary or his/her Authorized Legal Representative should sign up online at STABLEaccount.com or use an **Enrollment Form** before this form is completed.
- STABLE accounts are subject to a Maximum Annual Contribution Limit. See the Plan Disclosure Booklet for the current limit. All rollover assets will be counted against the standard annual contribution limit to the STABLE account.
- The Beneficiary of the STABLE account must be the same as the beneficiary of the 529 College Savings account or be an eligible “Member of the Family” of such beneficiary as defined by Section 529 of the Internal Revenue Code.
- The Beneficiary of the STABLE account will also be the owner of the STABLE account even if such Beneficiary was not the owner of the 529 College Savings account.
- Make sure you use black ink to type or print clearly in capital letters and do not staple the pages together.

Need help?

Give us a call Monday – Friday
from 9am – 8pm ET at

1-800-439-1653

Individuals with speech or hearing disabilities may dial 711 to access Telecommunications Relay Service (TRS) from a telephone or TTY.

Mail the form to:

STABLE Account Plan
PO Box 534425
Pittsburgh, PA 15253- 4425

Overnight Mail:

STABLE Account Plan
Attention: 534425
500 Ross Street, 154-0520
Pittsburgh, PA 15262

Fax:

844-745-9612

1 STABLE account information

This is the STABLE account you're rolling assets into.

Name of the Beneficiary (First and last)

____ - ____ - _____
Beneficiary's Social Security or Taxpayer Identification Number

Is the Beneficiary the same for both the 529 College Savings account and the STABLE account?

- Yes

- No, and I certify that the new Beneficiary listed in this step meets the permitted family member designation in Section 529 (includes biological and step parents, aunts, uncles, siblings, children, first cousins, nieces and nephews; parents, siblings, children, nieces and nephews by marriage; legally adopted children; and half-brothers or half-sisters) of the beneficiary of the 529 College Savings account.

S T - _____
STABLE account number

Who should we contact?

We need the following information for either the Beneficiary or Authorized Legal Representative in case we need to contact you about the account:

Contact name (First and last)

____ - ____ - _____
Telephone number

2 Rollover information

Assets from the 529 College Savings account must be deposited into the STABLE account within 60 days of withdrawing them. There's a \$1 minimum and an annual rollover limit (less the amounts previously contributed by any person to the STABLE account that counts against the standard annual limit) for a STABLE account. Contributions over the allowed amount will be rejected in their entirety. See the Plan Disclosure Booklet for the current annual contribution limit.

A Rollover details:

\$ _____ , _____ . _____
Principal of the rollover

\$ _____ , _____ . _____
Earnings of the rollover

B Tell us what's on the check:
Make the check payable to STABLE account.

\$ _____ , _____ . _____
Full amount of the rollover
(Total of Principal and Earnings)

C Please include a statement from the original account that shows the breakdown of the contributions/principal and earnings to verify this rollover.

3 Rollover contribution information

Provide instructions to the STABLE account Plan for how to invest the rollover amount provided in **Step 2**.

For a full list of all the portfolio options, please go online to STABLEaccount.com or see the **Plan Disclosure Statement** for important information about the investment options before making a decision. There is a \$1 minimum contribution to each portfolio you select.

Investment options

		<i>OR</i>	
Growth Portfolio	\$ ____ , ____ . ____ Amount		____ % Percent
Moderate Growth Portfolio	\$ ____ , ____ . ____ Amount		____ % Percent
Conservative Growth Portfolio	\$ ____ , ____ . ____ Amount		____ % Percent
Income Portfolio	\$ ____ , ____ . ____ Amount		____ % Percent
BankSafe Savings Fund	\$ ____ , ____ . ____ Amount		____ % Percent
\$ ____ , ____ . ____ Total contribution amount			100 % Total contribution percentage

4 Signature of the STABLE account Beneficiary (or Authorized Legal Representative)

I agree that this rollover contribution should be accepted by the STABLE account Program Manager.

Signature of Beneficiary or Authorized Legal Representative of the STABLE account

Date (mm/dd/yyyy)

5 Signature of 529 College Savings Plan Account Owner

By signing this, you're agreeing to these statements:

- I confirm that I received, understand, consent, and agree to all the information and terms and conditions in the STABLE account **Plan Disclosure Statement & Participation Agreement** as they relate to this rollover.
- I certify that the beneficiary of the 529 College Savings account is the same as the Beneficiary of the STABLE account or that the Beneficiary of the STABLE account qualifies as a "Member of the Family" of the beneficiary as defined by Section 529 of the Internal Revenue Code.
- I understand that the Account Owner of the 529 College Savings account from which assets are being withdrawn is responsible for providing the STABLE account with a statement that certifies the principal and earnings breakdown of the assets transferred. I further understand that until such statement is provided, the STABLE account will treat the entire transfer as earnings.
- I understand that a rollover that doesn't meet all of the above conditions may result in the earnings portion of the withdrawal from the 529 College Savings account being considered a non-qualified withdrawal subject to federal income tax and an additional 10% federal tax, and may be subject to state or local income tax.
- I certify that the above is accurate data regarding the rollover of the 529 College Savings account assets into the referenced STABLE account. I further certify that I have signing authority over the 529 College Savings account.

Signature of Account Owner of the 529 College Savings account

Date (mm/dd/yyyy)